

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):
Philippe ECKERT, et al.

Group Art Unit: 2152

Serial No.: 10/036,849

Examiner: unknown

Filed: November 8, 2001

Our Ref.: B-4379 619291-0

For: "MESSAGE BROKER"

Date of this paper:

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

March 5, 2002

**COPY OF PAPERS
ORIGINALLY FILED**

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 1/30/2002 (copy attached).

DECLARATION OR OATH

- [X] Please find enclosed the Declaration/Power signed by the inventors attached to a copy of the specification, claims, abstract, and drawings as filed.

AMENDMENT OF CLAIMS

- [] Please cancel claims _____ inclusive
- [] Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

- [X] Filing fee (37 CFR 1.16(a) - 740.00) \$740.00
(small entity - 370.00)
- [X] Fees for claims
- [X] 3* Independent claims in excess of 3
(37 CFR 1.16(b) - 84.00) \$252.00
(small entity - 42.00)
- [X] 4 Claims in excess of 20
(37 CFR 1.16(c) - 18.00) \$ 72.00
(small entity - 9.00)

***Applicant notes that there are 3 Independent claims in excess of 3, and not 2 Independent claims in excess of 3, as indicated in the Notice to File Missing Parts.**

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Date: March 5, 2002

Karyn Lao
(Typed or printed name of person mailing paper)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00)
(small entity - 130.00)

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00)

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00)

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment
(37 CFR 1.21(h)(i) 40.00)

☐ Attached is an assignment to

Total fees: \$1,194.00

SMALL ENTITY STATUS

☐ A verified statement that this filing is by a small entity is

☐ Attached ☐ Already filed on _____
W/cover sheet

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$1,194.00.

☐ Charge Account No. 12-0415 in the amount of _____.
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose
the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

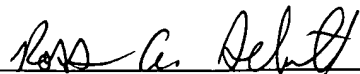
☒ The Commissioner is hereby authorized to charge the following
additional fees which may be required or credit any overpayment to
Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of
Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in
loss of entitlement to small entity status must be filed in the
application... prior to paying... issue fee."



Ross A. Schmitt
Attorney for the Applicant
Registration No.: 42,529

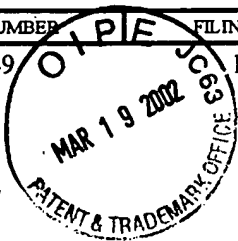
c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,849	11/08/2001	Andre Picca	B-4379 619291-0



LADAS & PARRY
Suite 2100
5670 Wilshire Boulevard
Los Angeles, CA 90036-5679

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CONFIRMATION NO. 4047

FORMALITIES LETTER



OC00000007394637

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$72 for 4 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

A copy of this notice **MUST** be returned with the reply.

T. Hyer
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/23/2002 SSAALEKU 00000017 120415 10036849

01 FC:102 84.00 CH

Repln. Ref: 04/23/2002 SSAALEKU 000013800
D#: 120415 Name: Andre Picca
FC: 704 \$84.00 CR

03/21/2002 JAD001 00000046 10036849

01 FC:101 740.00 OP
02 FC:103 72.00 OP
03 FC:105 130.00 OP
04 FC:102 252.00 OP

Adjustment date: 04/23/2002 SSAALEKU
03/21/2002 JAD001 00000046 10036849
04 FC:102 252.00 OP

04/23/2002 SSAALEKU 00000016 10036849

01 FC:102

168.00 OP